

# NEWFLEET ASSET MANAGEMENT, LLC

PROFESSIONAL  
BIOGRAPHY

ITEM 1 – COVER PAGE

CHRISTOPHER J. KELLEHER, CFA, CPA

NEWFLEET ASSET MANAGEMENT, LLC

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This Brochure Supplement provides information about Christopher J. Kelleher that supplements the Newfleet Asset Management, LLC ("Newfleet") brochure. You should have received a copy of that brochure. Please contact us at (877) 332-8172 or [Laura.Krajewski@virtus.com](mailto:Laura.Krajewski@virtus.com) if you did not receive Newfleet's brochure or if you have any questions about the contents of this supplement.

# NEWFLEET ASSET MANAGEMENT, LLC

PROFESSIONAL BIOGRAPHIES (CONTINUED)

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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### Christopher J. Kelleher, CFA, CPA

Senior Managing Director and Senior Portfolio Manager

- Business experience: oversees implementing the management of Newfleet's mandates to institutional clients and consultants. He has more than 25 years of investment experience in all bond market sectors, including both publicly traded and private placements. Mr. Kelleher serves as co-portfolio manager of the Virtus Bond Fund, Virtus Balanced Fund, and Virtus Low Duration Income Fund. Previous experience: Senior Managing Director and Senior Portfolio Manager, Goodwin Capital Advisers, a former investment management subsidiary of Virtus; Investment officer, Phoenix Life Insurance Company.
- Education: B.S. in accounting from the University of Connecticut; M.S. in accounting from the University of Hartford.
- Licenses: Chartered Financial Analyst designation; Certified Public Accountant; Certified Financial Planner.

## ITEM 3 – DISCIPLINARY INFORMATION

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Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

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Mr. Kelleher does not have other investment-related business activities.

## ITEM 5 – ADDITIONAL COMPENSATION

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Bonus based on performance of portfolios on a 1-, 3-, 5-year basis. Additional factors, such as client retention and growth in assets under management may also be considered as part of the performance evaluation.

## ITEM 6 – SUPERVISION

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Mr. Kelleher attends regular meetings with his direct supervisor and senior management to discuss various aspects of his role including portfolio structure, holdings, compliance, investment guidelines, asset classes, prospects, etc.

Mr. Kelleher's supervisor is Dave Albrycht, CFA, President and Chief Investment Officer of Newfleet Asset Management, LLC, phone number (877) 332-8172.